

A. Proof of Theorem 3

Our strategy is to form a Jordan decomposition of A and show that the desired bounds hold for each Jordan block. To this end, we first prove the following lemmas.

Lemma 8. *If J is a Jordan block with nonzero eigenvalue, then for any $\epsilon > 0$ there is a complex matrix D such that $J + D$ is diagonalizable in \mathbb{C} and*

$$\frac{\|(J + D)^n - J^n\|}{\|J^n\|} \leq n\epsilon.$$

Proof. The powers of J look like

$$J^n = \begin{bmatrix} \binom{n}{0}\lambda^n & \binom{n}{1}\lambda^{n-1} & \binom{n}{2}\lambda^{n-2} & \dots \\ & \binom{n}{0}\lambda^n & \binom{n}{1}\lambda^{n-1} & \dots \\ & & \binom{n}{0}\lambda^n & \dots \\ & & & \ddots \end{bmatrix}.$$

More concisely,

$$[J^n]_{jk} = \begin{cases} \binom{n}{k-j}\lambda^{n-k+j} & \text{if } 0 \leq k-j \leq n \\ 0 & \text{otherwise.} \end{cases}$$

We choose D to perturb the diagonal elements of J towards zero; that is, let D be a diagonal matrix whose elements are in $[-\epsilon\lambda, 0)$ and are all different. This shrinks the diagonal elements by a factor no smaller than $(1 - \epsilon)$. So the powers of $(J + D)$ are, for $0 \leq k - j \leq n$:

$$\begin{aligned} [(J + D)^n]_{jk} &= c_{jk} [J^n]_{jk} \\ c_{jk} &\geq (1 - \epsilon)^{n-k+j}. \end{aligned}$$

Simplifying the bound on c_{jk} (Kozma, 2019):

$$c_{jk} \geq 1 - (n - k + j)\epsilon \geq 1 - n\epsilon. \quad (4)$$

The elements of J^n , for $0 \leq k - j \leq n$, are perturbed by:

$$\begin{aligned} [(J + D)^n - J^n]_{jk} &= (c_{jk} - 1)[J^n]_{jk} \\ \left| [(J + D)^n - J^n]_{jk} \right| &\leq n\epsilon \left| [J^n]_{jk} \right|. \end{aligned}$$

Since $\|\cdot\|$ is monotonic,

$$\begin{aligned} \|(J + D)^n - J^n\| &\leq n\epsilon \|J^n\| \\ \frac{\|(J + D)^n - J^n\|}{\|J^n\|} &\leq n\epsilon. \quad \square \end{aligned}$$

Lemma 9. *If J is a Jordan block with zero eigenvalue, then for any $\epsilon > 0, r > 0$, there is a complex matrix D such that $J + D$ is diagonalizable in \mathbb{C} and*

$$\|(J + D)^n - J^n\| \leq r^n \epsilon.$$

Proof. Since the diagonal elements of J are all zero, we can't perturb them toward zero as in Lemma 8; instead, let

$$\delta = \min \left\{ \frac{r}{2}, \left(\frac{r}{2} \right)^d \frac{\epsilon}{d} \right\}$$

and let D be a diagonal matrix whose elements are in $(0, \delta]$ and are all different. Then the elements of $((J + D)^n - J^n)$ are, for $0 \leq k - j < \min\{n, d\}$:

$$\begin{aligned} [(J + D)^n - J^n]_{jk} &\leq \binom{n}{k-j} \delta^{n-k+j} \\ &< 2^n \delta^{n-k+j} \\ &\leq 2^n \delta^{\min\{0, n-d\}+1}, \end{aligned}$$

and by monotonicity,

$$\|(J + D)^n - J^n\| \leq 2^n \delta^{\min\{0, n-d\}+1} d.$$

To simplify this bound, we consider two cases. If $n \leq d$,

$$\begin{aligned} \|(J + D)^n - J^n\| &= 2^n \delta d \\ &\leq 2^n \left(\frac{r}{2} \right)^d \frac{\epsilon}{d} d \\ &= 2^{n-d} r^d \epsilon \\ &\leq r^n \epsilon. \end{aligned}$$

If $n > d$,

$$\begin{aligned} \|(J + D)^n - J^n\| &= 2^n \delta^{n-d+1} d \\ &\leq 2^n \delta^{n-d} \left(\frac{r}{2} \right)^d \frac{\epsilon}{d} d \\ &\leq 2^n \left(\frac{r}{2} \right)^{n-d} \left(\frac{r}{2} \right)^d \frac{\epsilon}{d} d \\ &= r^n \epsilon. \quad \square \end{aligned}$$

Now we can combine the above two lemmas to obtain the desired bounds for a general matrix.

Proof of Theorem 3. Form the Jordan decomposition $A = PJP^{-1}$, where

$$J = \begin{bmatrix} J_1 & & & \\ & J_2 & & \\ & & \ddots & \\ & & & J_p \end{bmatrix}$$

and each J_j is a Jordan block. Let $\kappa(P) = \|P\| \|P^{-1}\|$ be the Frobenius condition number of P .

If A is nilpotent, use Lemma 9 on each block J_j to find a D_j so that $\|(J_j + D_j)^n - J_j^n\| \leq \frac{r^n \epsilon}{\kappa(P)^p}$. Combine the D_j

into a single matrix D , so that $\|(J + D)^n - J^n\| \leq \frac{r^n \epsilon}{\kappa(P)}$. Let $E = PDP^{-1}$, and then

$$\begin{aligned} \|(A + E)^n - A^n\| &= \|P((J + D)^n - J^n)P^{-1}\| \\ &\leq \kappa(P)\|(J + D)^n - J^n\| \\ &\leq \kappa(P)\frac{r^n \epsilon}{\kappa(P)} \\ &= r^n \epsilon. \end{aligned}$$

If A is not nilpotent, then for each Jordan block J_j :

- If J_j has nonzero eigenvalue, use Lemma 8 to find a D_j such that $\|(J_j + D_j)^n - J_j^n\| \leq \frac{n\epsilon}{\kappa(P)^2} \frac{\|J_j^n\|}{2^p}$.
- If J_j has zero eigenvalue, use Lemma 9 to find a D_j such that $\|(J_j + D_j)^n - J_j^n\| \leq \frac{n\epsilon}{\kappa(P)^2} \frac{\rho(J_j^n)}{2^p}$.

Combine the D_j into a single matrix D . Then the total absolute error of all the blocks with nonzero eigenvalue is at most $\frac{n\epsilon}{\kappa(P)^2} \frac{\|J^n\|}{2}$. And since $\rho(J^n) \leq \|J^n\|$, the total absolute error of all the blocks with zero eigenvalue is also at most $\frac{n\epsilon}{\kappa(P)^2} \frac{\|J^n\|}{2}$. So the combined total is

$$\|(J + D)^n - J^n\| \leq \frac{n\epsilon}{\kappa(P)^2} \|J^n\|.$$

Finally, let $E = PDP^{-1}$, and

$$\begin{aligned} \|(A + E)^n - A^n\| &= \|P((J + D)^n - J^n)P^{-1}\| \\ &\leq \kappa(P)\|(J + D)^n - J^n\| \\ &\leq \frac{n\epsilon}{\kappa(P)} \|J^n\| \\ &\leq \frac{n\epsilon}{\kappa(P)} \|P^{-1}A^nP\| \\ &\leq n\epsilon \|A^n\| \\ \frac{\|(A + E)^n - A^n\|}{\|A^n\|} &\leq n\epsilon. \end{aligned}$$

B. Proof of Proposition 5

First, consider the \oplus operation. Let $\mu_1(a)$ (for all a) be the transition matrices of M_1 . For any $\epsilon > 0$, let $E_1(a)$ be the perturbations of the $\mu_1(a)$ such that $\|E_1(a)\| \leq \epsilon/2$ and the $\mu_1(a) + E_1(a)$ (for all a) are simultaneously diagonalizable. Similarly for M_2 . Then the matrices $(\mu_1(a) + E_1(a)) \oplus (\mu_2(a) + E_2(a))$ (for all a) are simultaneously diagonalizable, and

$$\begin{aligned} \|(\mu_1(a) + E_1(a)) \oplus (\mu_2(a) + E_2(a)) - \mu_1(a) \oplus \mu_2(a)\| \\ &= \|E_1(a) \oplus E_2(a)\| \\ &\leq \|E_1(a)\| + \|E_2(a)\| \\ &\leq \epsilon. \end{aligned}$$

Next, we consider the \sqcup operation. Let d_1 and d_2 be the number of states in M_1 and M_2 , respectively. Let $E_1(a)$ be the perturbations of the $\mu_1(a)$ such that $\|E_1(a)\| \leq \epsilon/(2d_2)$ and the $\mu_1(a) + E_1(a)$ are simultaneously diagonalizable by some matrix P_1 . Similarly for M_2 .

Then the matrices $(\mu_1(a) + E_1(a)) \sqcup (\mu_2(a) + E_2(a))$ (for all a) are simultaneously diagonalizable by $P_1 \otimes P_2$. To see why, let $A_1 = \mu_1(a) + E_1(a)$ and $A_2 = \mu_2(a) + E_2(a)$ and observe that

$$\begin{aligned} (P_1 \otimes P_2)(A_1 \sqcup A_2)(P_1 \otimes P_2)^{-1} \\ &= (P_1 \otimes P_2)(A_1 \otimes I + I \otimes A_2)(P_1^{-1} \otimes P_2^{-1}) \\ &= P_1 A_1 P_1^{-1} \otimes I + I \otimes P_2 A_2 P_2^{-1} \\ &= P_1 A_1 P_1^{-1} \sqcup P_2 A_2 P_2^{-1}, \end{aligned}$$

which is diagonal.

To show that $(\mu_1(a) + E_1(a)) \sqcup (\mu_2(a) + E_2(a))$ is close to $(\mu_1(a) \sqcup \mu_2(a))$, observe that

$$\begin{aligned} (\mu_1(a) + E_1(a)) \sqcup (\mu_2(a) + E_2(a)) \\ &= (\mu_1(a) + E_1(a)) \otimes I + I \otimes (\mu_2(a) + E_2(a)) \\ &= \mu_1(a) \otimes I + E_1(a) \otimes I + I \otimes \mu_2(a) + I \otimes E_2(a) \\ &= (\mu_1(a) \sqcup \mu_2(a)) + (E_1(a) \sqcup E_2(a)). \end{aligned}$$

Therefore,

$$\begin{aligned} \|(\mu_1(a) + E_1(a)) \sqcup (\mu_2(a) + E_2(a)) - \mu_1(a) \sqcup \mu_2(a)\| \\ &= \|E_1(a) \sqcup E_2(a)\| \\ &= \|E_1(a) \otimes I + I \otimes E_2(a)\| \\ &\leq \|E_1(a) \otimes I\| + \|I \otimes E_2(a)\| \\ &\leq \|E_1(a)\|d_2 + d_1\|E_2(a)\| \\ &\leq \epsilon. \end{aligned}$$

C. Proof of Proposition 7

Because any set of commuting matrices can be simultaneously triangularized by a change of basis, assume without loss of generality that M 's transition matrices are upper triangular, that is, there are no transitions from state q to state r where $q > r$.

Let $M = (Q, \Sigma, \lambda, \mu, \rho)$, and arbitrarily number the symbols of Σ as a_1, \dots, a_m . Note that M assigns the same weight to multiset w as it does to the sorted symbols of w . That is, we can compute the weight of w by summing over sequences of states q_0, \dots, q_m such that q_0 is an initial state, q_m is a final state, and M can get from state q_{i-1} to q_i while reading a_i^k , where k is the number of occurrences of a_i in w .

For all $a \in \Sigma, q, r \in Q$, define $M_{q,a,r}$ to be the automaton that assigns to a^k the same weight that M would go from

state q to state r while reading a^k . That is,

$$\begin{aligned} M_{q,a,r} &= (\lambda_{q,a,r}, \mu_{q,a,r}, \rho_{q,a,r}) \\ [\lambda_{q,a,r}]_q &= 1 \\ \mu_{q,a,r}(a) &= \mu(a) \\ [\rho_{q,a,r}]_r &= 1 \end{aligned}$$

and all other weights are zero.

Then we can build a multiset automaton equivalent to M by combining the $M_{q,a,r}$ using the union and shuffle operations:

$$M' = \bigoplus_{\substack{q_0, \dots, q_m \in Q \\ q_0 \leq \dots \leq q_m}} \lambda_{q_0} M_{q_0, a_1, q_1} \sqcup \dots \sqcup M_{q_{m-1}, a_m, q_m} \rho_{q_m}$$

(where multiplying an automaton by a scalar means scaling its initial or final weight vector by that scalar). The $M_{q,a,r}$ are unary, so by Proposition 5, the transition matrices of M' are ASD. Since $M_{q,a,r}$ has $r - q + 1$ states, the number of states in M' is

$$|Q'| = \sum_{q_0 \leq \dots \leq q_m} \prod_{i=1}^m (q_i - q_{i-1} + 1)$$

which we can find a closed-form expression for using generating functions. If $p(z)$ is a polynomial, let $[z^i](p(z))$ stand for “the coefficient of z^i in p .” Then

$$\begin{aligned} |Q'| &= [z^{d-1}] \left(\sum_{i=0}^{\infty} z^i \right) \left(\sum_{i=0}^{\infty} (i+1)z^i \right)^m \left(\sum_{i=0}^{\infty} z^i \right) \\ &= [z^{d-1}] \left(\frac{1}{1-z} \right) \left(\frac{1}{1-z} \right)^{2m} \left(\frac{1}{1-z} \right) \\ &= [z^{d-1}] \left(\frac{1}{1-z} \right)^{2m+2} \\ &= \binom{2m+d}{d-1}. \end{aligned}$$