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# Perturbation Type Categorization for Multiple Adversarial Perturbation Robustness

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## Abstract

Recent works in adversarial robustness have proposed defenses to improve the robustness of a single model against the union of multiple perturbation types. However, these methods still suffer significant trade-offs compared to the ones specifically trained to be robust against a single perturbation type. In this work, we introduce the problem of categorizing adversarial examples based on their perturbation types. We first theoretically show on a toy task that adversarial examples of different perturbation types constitute different distributions—making it possible to distinguish them. We support these arguments with experimental validation on multiple  $\ell_p$  attacks and common corruptions. Instead of training a single classifier, we propose PROTECTOR, a two-stage pipeline that first categorizes the perturbation type of the input, and then makes the final prediction using the classifier specifically trained against the predicted perturbation type. We theoretically show that at test time the adversary faces a natural trade-off between fooling the perturbation classifier and the succeeding classifier optimized with perturbation-specific adversarial training. This makes it challenging for an adversary to plant strong attacks against the whole pipeline. Experiments on MNIST and CIFAR-10 show that PROTECTOR outperforms prior adversarial training-based defenses by over 5% when tested against the union of  $\ell_1, \ell_2, \ell_\infty$  attacks. Additionally, our method extends to a more diverse attack suite, also showing large robustness gains against multiple  $\ell_p$ , spatial and recolor attacks.

## 1 INTRODUCTION

Machine learning models have been shown to be vulnerable to different types of adversarial examples—inputs with a small magnitude of perturbation added to mislead the classifier’s prediction [Szegedy et al., 2013]. Consequently, many defenses have been proposed to improve their robustness, a majority of which focus on achieving robustness against a specific perturbation type [Goodfellow et al., 2015, Madry et al., 2018, Kurakin et al., 2017, Tramèr et al., 2018, Dong et al., 2018, Zhang et al., 2019, Carmon et al., 2019]. However, as ML models get adopted in real-world applications, it becomes important for the defenses to be robust against different types of perturbations given the flexibility of practical attackers. In addition, prior work showed that when models are trained to be robust against one perturbation type, the robustness is typically not preserved against attacks of a different type [Schott et al., 2018, Kang et al., 2019].

Motivated by the need for robustness against diverse perturbation types, recent works have attempted to train models that are robust against multiple perturbation types [Tramèr and Boneh, 2019, Maini et al., 2020, Laidlaw et al., 2021]. These works consider perturbations restricted by their  $\ell_p$  norms ( $p \in \{1, 2, \infty\}$ ) or spatial and color transformations. The proposed methods improve the overall robustness against multiple perturbation types. However, when evaluating the robustness against each individual perturbation type, the robustness of models trained by these methods is still considerably worse than those trained on a single perturbation type. Given these empirical observations, in this work we aim to answer: *Are different types of perturbations separable? Can we categorize them to improve robustness to multiple adversarial perturbations?*

To address these questions and explore the properties of different perturbation types, we introduce the problem of *categorizing adversarial examples* based on their perturbation types. We present theoretical analysis on a toy task to show that when we add different types of perturbations to benign samples of a given ground-truth class, their new dis-

tributions are distinct and separable. We experimentally validate our theoretical results on both (mathematically) well-defined perturbation regions such as  $\ell_p$  balls, as well as various common corruptions [Hendrycks and Dietterich, 2019]. We find that deep networks are able to categorize different perturbation types with high accuracy ( $> 95\%$ ). Further, our perturbation classifier shows high generalization accuracy ( $\sim 90\%$ ) to *unseen* common corruptions, i.e., correctly predicting their categories (weather, noise, blur, or digital) without training on them. While in this work we focus on improving worst-case adversarial robustness, applications of categorizing perturbation types extend beyond it—such as detecting *systematic* distribution shifts (e.g. presence of snow for self-driving cars [Michaelis et al., 2020]). Further, using a perturbation classifier as the discriminator may improve the effectiveness and variety of adversarial examples produced by generative models [Wong and Kolter, 2021, Xiao et al., 2018a, Song et al., 2018].

Based on our theoretical analysis, we propose PROTECTOR, a two-stage pipeline that performs *Perturbation Type Categorization to Improve Robustness* against multiple perturbations. First, the top-level perturbation classifier predicts the perturbation type of the input. Then, among the second-level predictors, PROTECTOR selects the one that is the most robust to the predicted perturbation type to make the final prediction. We theoretically show that there exists a natural tension between attacking the perturbation classifier and the second-level predictors. Specifically, strong attacks against the second-level predictors make it easier for the perturbation classifier to predict the adversarial perturbation type; on the other hand, fooling the perturbation classifier requires planting weaker (or less representative) attacks against the second-level predictors. As a result, even an *imperfect* perturbation classifier significantly improves the model’s overall robustness to multiple perturbation types. We also supplement our theoretical statements on the toy task with experimental validation in the exact same setting.

Empirically<sup>1</sup>, we first show that the perturbation classifier generalizes well on classifying a wide range of adversarial perturbations. Then we compare PROTECTOR with recent defenses against multiple attack types on MNIST and CIFAR-10. Even though we do not utilize adversarial training [Goodfellow et al., 2015] to train the perturbation classifier, an ensemble of diverse perturbation classifiers along with adding small noise to inputs help make PROTECTOR robust against adaptive attacks. Specifically, we combine predictions of perturbation classifiers that classify adversarial examples in their image and Fourier domains [Yin et al., 2019a]. This further increases the tension between attacking top-level and second-level components by reducing the space of successful adversarial attacks. PROTECTOR outperforms prior approaches by over 5% against the union of

$\ell_1, \ell_2$  and  $\ell_\infty$  attacks. From the suite of 15 different attacks tested, the average improvement over all the attacks w.r.t. the state-of-art baseline defense is  $\sim 15\%$  on both MNIST and CIFAR-10. Training a model to be robust against multiple attacks typically imposes a significant tradeoff against the accuracy on benign samples, but PROTECTOR attains  $\sim 7\%$  greater benign test accuracy on CIFAR-10 as compared to recent works [Laidlaw et al., 2021, Maini et al., 2020]. We further demonstrate how our defense naturally extends beyond  $\ell_p$  perturbation types, where we assess the robustness of our model against the union of  $\ell_\infty, \ell_2$ , spatial [Wong et al., 2019, Xiao et al., 2018b] and recolor [Bhattad et al., 2020, Laidlaw and Feizi, 2019] attacks on CIFAR-10. Our defense exceeds the robustness of recent work [Laidlaw et al., 2021] by over 13% against all attacks. In addition, PROTECTOR provides the flexibility to plug in and integrate new defenses against individual perturbation types into the existing framework as second-level predictors, thus the defense performance of PROTECTOR can be continuously improved with the development of more advanced defenses against single perturbation types.

## 2 RELATED WORK

**Adversarial examples.** Among the different types of adversarial attacks studied in prior work [Szegedy et al., 2013, Goodfellow et al., 2015, Madry et al., 2018, Hendrycks et al., 2019, Bhattad et al., 2020], the majority constrain the perturbation within a small  $\ell_p$  region around the original input. To improve model robustness in the presence of such adversaries, most existing defenses utilize adversarial training [Goodfellow et al., 2015], which augments the training dataset with adversarial examples. Till date, different variants of adversarial training algorithms remain the most successful defenses against adversarial attacks [Carmon et al., 2019, Zhang et al., 2019, Wong et al., 2020, Rice et al., 2020, Wang et al., 2020]. Other types of defenses include input transformation [Guo et al., 2018, Buckman et al., 2018] and network distillation [Papernot et al., 2016], but were rendered ineffective under stronger adversaries [He et al., 2017, Carlini and Wagner, 2017, Athalye et al., 2018, Tramèr et al., 2020].

**Defenses against multiple perturbation types.** Some recent works have focused on defending against a union of norm bounded  $\ell_p$  attacks. Schott et al. [2018], Kang et al. [2019] showed that models that were trained for a given  $\ell_p$ -norm bounded attack are not robust against attacks in a different  $\ell_q$  region. Schott et al. [2018] proposed the use of multiple variational autoencoders to achieve robustness to multiple  $\ell_p$  attacks on MNIST. Tramèr and Boneh [2019] used simple aggregations of multiple adversaries to achieve non-trivial robust accuracy against  $\ell_1, \ell_2, \ell_\infty$  attacks. Maini et al. [2020] proposed MSD that takes gradient steps in the union of multiple  $\ell_p$  regions to improve multiple perturba-

<sup>1</sup>Code for reproducing our experiments can be found at <https://github.com/sunblaze-ucb/adversarial-protector>.

tion robustness. Most recently, Laidlaw et al. [2021] proposed a defense against unseen perturbations using perceptual adversarial training. They evaluate their work against  $\ell_\infty$ ,  $\ell_2$ , spatial, recolor adversaries.

**Detection of adversarial examples.** Multiple prior works have focused on detecting adversarial examples [Feinman et al., 2017, Lee et al., 2018, Ma et al., 2018, Cennamo et al., 2020, Fidel et al., 2019, Yin et al., 2019b]. However, most of these methods were rendered ineffective in the presence of adaptive adversaries [Carlini and Wagner, 2017, Tramer et al., 2020]. In comparison, our work focuses on a more challenging problem of categorizing perturbation types. To this end, Yin et al. [2019a] proposed the examination of Fourier transforms of adversarial examples to determine the adversarial attack and corruption types.

### 3 SEPARABILITY OF PERTURBATION TYPES

In this section, we formally illustrate the setup of perturbation categorization. In Theorem 1, we show the existence of a classifier that can separate adversarial examples belonging to different perturbation types. We focus on  $\ell_p$  attacks (that can be fully specified mathematically) on a simplified binary classification task for the convenience of theoretical analysis. However, PROTECTOR can also improve the empirical robustness of models trained on common image classification benchmarks against both  $\ell_p$  and non- $\ell_p$  attacks. We will discuss the empirical examination in Section 6.

#### 3.1 PROBLEM SETTING

**Data distribution.** We consider a distribution  $\mathcal{D}$  of inputs sampled from the union of two multi-variate Gaussian distributions such that the input-label pairs  $(x, y)$  can be described as:

$$y \stackrel{u.a.r.}{\sim} \{-1, +1\}, \quad (1)$$

$$x_0 \sim \mathcal{N}(y\alpha, \sigma^2), \quad x_1, \dots, x_d \stackrel{i.i.d.}{\sim} \mathcal{N}(y\eta, \sigma^2),$$

where  $x = [x_0, x_1, \dots, x_d] \in \mathcal{R}^{d+1}$  and  $\eta = \frac{\alpha}{\sqrt{d}}$ . This setting demonstrates the distinction between a feature  $x_0$  that is strongly correlated with the label, and  $d$  weakly correlated features that are (independently) normally distributed with the mean  $y\eta$  and the variance  $\sigma^2$ . In our work, we assume that  $\frac{\alpha}{\sigma} > 10$  ( $x_0$  is strongly correlated) and  $d > 100$  (remaining  $d$  features are weakly correlated, but together represent a strongly correlated feature). This setting was adapted from Ilyas et al. [2019], and more discussion can be found in Appendix A.

**Perturbation types.** We focus our theoretical discussion on adversaries constrained within a fixed  $\ell_p$  region of radius  $\epsilon_p$  around the original input, for  $\ell_p \in \mathcal{S} = \{\ell_1, \ell_\infty\}$ . Such adversaries are frequently studied in existing work for finding

the optimal first-order perturbation for different attack types. Let  $\ell(\cdot, \cdot)$  be the cross-entropy loss, and  $\Delta_{\mathcal{S}} = \bigcup_{\ell_p \in \mathcal{S}} \Delta_{\ell_p, \epsilon_p}$  for the  $\ell_p$  threat model,  $\Delta_{\ell_p, \epsilon_p}$ , of radius  $\epsilon_p$ . Then, for a model  $f_\theta$ , the optimal perturbation  $\delta^*$  is given by:

$$\delta^* = \arg \max_{\delta \in \Delta_{\mathcal{S}}} \ell(f_\theta(x + \delta), y). \quad (2)$$

#### 3.2 SEPARABILITY OF $\ell_p$ PERTURBATIONS

Consider a classifier  $M$  trained with the objective of correctly classifying inputs  $x \in \mathcal{D}$ . The goal of the adversary is to fool  $M$  by finding the optimal perturbation  $\delta_{\mathcal{A}} \forall \mathcal{A} \in \mathcal{S}$ . The theorem below shows that the distributions of adversarial inputs within different  $\ell_p$  regions can be separated with a high accuracy.

**Theorem 1** (Separability of perturbation types). *Given a binary Gaussian classifier  $M$  trained on  $\mathcal{D}$ , consider  $\mathcal{D}_p^y$  to be the distribution of optimal adversarial inputs (for a class  $y$ ) against  $M$ , within  $\ell_p$  regions of radius  $\epsilon_p$ , where  $\epsilon_1 = \alpha$ ,  $\epsilon_\infty = \alpha/\sqrt{d}$ . Distributions  $\mathcal{D}_p^y$  ( $p \in \{1, \infty\}$ ) can be accurately separated by a binary Gaussian classifier  $C_{adv}$  with a misclassification probability  $P_e \leq 10^{-24}$ .*

The proof sketch is as follows. We first calculate the optimal weights of a binary Gaussian classifier  $M$  trained on  $\mathcal{D}$ . Accordingly, for any input  $x \in \mathcal{D}$ , we find the optimal adversarial perturbation  $\delta_{\mathcal{A}} \forall \mathcal{A} \in \{\ell_1, \ell_\infty\}$  against  $M$ . We discuss how these perturbed inputs  $x + \delta_{\mathcal{A}}$  also follow a normal distribution, with shifted means. Finally, for data points of a given label, we show that  $C_{adv}$  is able to predict the correct perturbation type with a very low error. We present the formal proof in Appendix B.

### 4 PROTECTOR: PERTURBATION TYPE CATEGORIZATION FOR ROBUSTNESS

We illustrate the PROTECTOR pipeline in Figure 1. PROTECTOR performs the classification task as a two-stage process. Given an input, PROTECTOR first utilizes a *perturbation classifier*  $C_{adv}$  to predict its perturbation type. Then, based on the predicted type, PROTECTOR uses the corresponding second-level predictor  $M_{\mathcal{A}}$  to provide the final prediction, where  $M_{\mathcal{A}}$  is specially trained to be robust against the attack  $\mathcal{A} \in \mathcal{S}$ . Formally, let  $f_\theta$  be the PROTECTOR model, then:

$$f_\theta(x) = M_{\mathcal{A}}(x); \quad s.t. \quad \mathcal{A} = \operatorname{argmax} C_{adv}(x). \quad (3)$$

#### 4.1 ADVERSARIAL TRADE-OFF

In Section 3.2, we showed that the optimal perturbations of different attack types belong to different data distributions, and can be separated by a simple classifier. However, in the

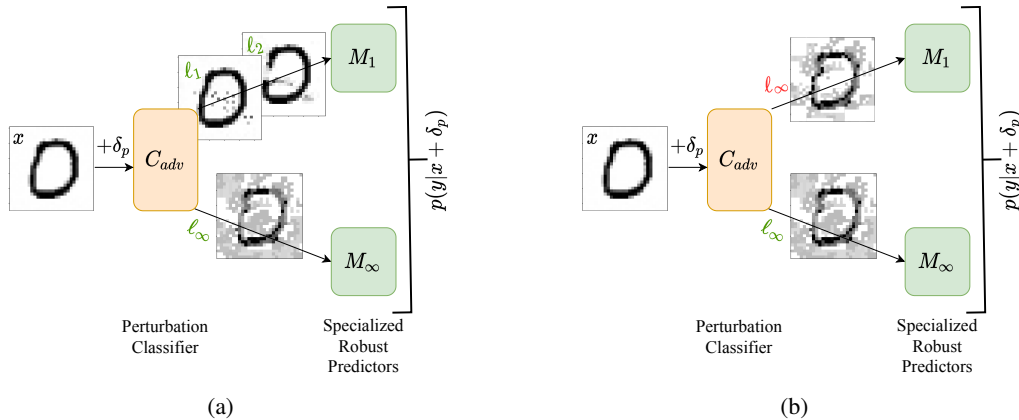


Figure 1: An overview of PROTECTOR. (a) The perturbation classifier  $C_{adv}$  categorizes representative attacks of different types. (b) An illustration of the trade-off in Theorem 2. An adversarial example fooling  $C_{adv}$  (the  $l_\infty$  sample marked in red) becomes weaker to attack the second-level  $M_{\mathcal{A}}$  models. Stronger or more representative attacks (marked green) are correctly categorized.

white-box setting, the adversary has knowledge of both the perturbation classifier ( $C_{adv}$ ) and specialized robust models ( $M_{\mathcal{A}}$ ). This allows it to adapt the attack to fool the entire pipeline instead of individual models alone. To validate the robustness of PROTECTOR, we provide a theoretical justification in Theorem 2, showing that PROTECTOR naturally offers a trade-off between fooling  $C_{adv}$  and the individual models  $M_{\mathcal{A}}$ . This makes it difficult for adversaries to stage successful attacks against PROTECTOR.

Note that there are some overlapping regions among different perturbation constraints. For example, every adversary could set  $\delta_p = 0$  as a valid perturbation, in which case  $C_{adv}$  can not correctly classify all attacks. However, such perturbations are not useful to the adversary, because any  $M_{\mathcal{A}}$  can correctly classify unperturbed inputs with a high probability. In the following theorem, we examine the robustness of PROTECTOR in the presence of such strong dynamic adversaries.

**Theorem 2 (Adversarial trade-off).** *Given a data distribution  $\mathcal{D}$ , adversarially trained models  $M_{\ell_p, \epsilon_p}$ , and an attack classifier  $C_{adv}$  that distinguishes perturbations of different  $\ell_p$  attack types for  $p \in \{1, \infty\}$ ; the probability of a successful attack by the strongest adversary over the PROTECTOR pipeline is  $P_e < 0.01$  for  $\epsilon_1 = \alpha + 2\sigma$  and  $\epsilon_\infty = \frac{\alpha + 2\sigma}{\sqrt{d}}$ .*

Here, the *worst-case adversary* refers to an adaptive adversary that has full knowledge of the defense strategy. In Appendix C.2, we discuss how  $\epsilon_1, \epsilon_\infty$  are set so that the  $l_1$  and  $l_\infty$  adversaries can fool  $M_{\ell_\infty, \epsilon_\infty}$  and  $M_{\ell_1, \epsilon_1}$  models respectively with a high success rate. To prove Theorem 2, we first show that when trained on  $\mathcal{D}$ , an adversarially robust model  $M_{\mathcal{A}}$  can achieve robust accuracy  $> 99\%$  against the attack type it was trained for, and  $< 2\%$  against an alternate attack. By ‘‘alternate’’ we mean that for an  $l_q$  attack, the prediction is made by the  $M_{\ell_p, \epsilon_p}$  model. Then, we analyze the modified distributions of the inputs perturbed by different  $\ell_p$  at-

tacks. Based on this, we construct a simple decision rule for the perturbation classifier  $C_{adv}$ . Finally, we compute the perturbation induced by the worst-case adversary. We show that there exists a trade-off between fooling the  $C_{adv}$  (to allow the alternate  $M_{\ell_p, \epsilon_p}$  model to make the final prediction for an  $l_q$  attack  $\forall p, q \in \{1, \infty\}; p \neq q$ ), and fooling the alternate  $M_{\ell_p, \epsilon_p}$  model itself. We provide an illustration of the trade-off in Figure 1b, and a formal proof and *experimental validation* on the toy task in Appendix C.

## 5 TRAINING AND INFERENCE

We now extend PROTECTOR to deep neural networks trained on common image classification benchmarks. Following prior work on defending against multiple perturbation types, we evaluate on MNIST [LeCun et al., 2010] and CIFAR-10 [Krizhevsky, 2012] datasets. Here, we present the training details, the formulation of an ensemble of perturbation classifiers, and adaptive white-box attacks against PROTECTOR.

### 5.1 DATASET CREATION

To train our perturbation classifier  $C_{adv}$ , we create a dataset that includes adversarial examples of different perturbation types. We perform adversarial attacks against each of the individual  $M_{\mathcal{A}}$  models used in PROTECTOR to curate the training and test sets. In the case of  $\ell_p$  examples, we use the PGD attack [Madry et al., 2018], and for spatial [Xiao et al., 2018b] and recolor [Laidlaw and Feizi, 2019] attacks, we use their original attack formulation. The time for creating the dataset against each  $M_{\mathcal{A}}$  is the same as running a single epoch of adversarial training. Since most recent works typically train their models for  $\sim 200$  epochs, the dataset creation time is insignificant when compared with the cost of training an  $M_{\mathcal{A}}$  model.

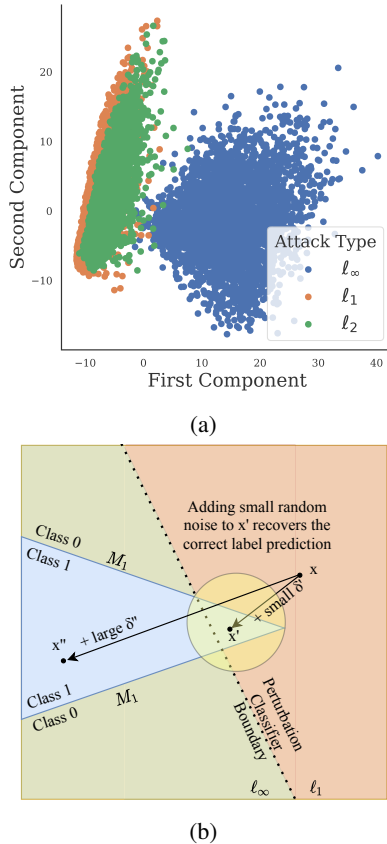


Figure 2: (a) PCA for different adversarial perturbations on MNIST. (b) Illustration of the effect of random noise on generating adversarial examples. The notion of small, large perturbations is only used to illustrate the scenario in Figure 2b, and neither perturbation region subsumes the other.

**Combining perturbation types.** When training PROTECTOR to be robust against a set  $\mathcal{S}$  of multiple ( $k$ ) attacks, we combine certain perturbation types under the same label to improve the overall robustness. This is beneficial when: (a) a specialized model  $M_{\mathcal{A}}$  also shows a high degree of robustness to a different attack  $\mathcal{B} \in \mathcal{S}$ , s.t.  $\mathcal{A} \neq \mathcal{B}$ ; (b) two different attack types  $\mathcal{A}, \mathcal{B} \in \mathcal{S}$  have similar characteristics. For instance, in case of  $\ell_p$  attacks, we perform binary classification between  $\mathcal{A} = \{\{\ell_1, \ell_2\}, \ell_\infty\}$ . We hypothesize that compared to  $\ell_\infty$  adversarial examples,  $\ell_1$  and  $\ell_2$  adversarial examples show similar characteristics. To provide an intuitive illustration, we randomly sample 10K adversarial examples generated with PGD attacks on MNIST, and present their Principal Component Analysis (PCA) in Figure 2a. We observe that the first two principal components for  $\ell_1$  and  $\ell_2$  adversarial examples are largely overlapping, while those for  $\ell_\infty$  are clearly from a different distribution.<sup>2</sup> For the MNIST dataset, we use the  $M_{\ell_2}, M_{\ell_\infty}$  models in PROTECTOR, and we use  $M_{\ell_1}, M_{\ell_\infty}$  models for CIFAR-10. The

<sup>2</sup>The visualization only serves as motivation. It does not suggest that  $\ell_1, \ell_2$  examples are not separable.

choice is made based on the robustness of  $\{M_{\ell_2}, M_{\ell_1}\}$  models against  $\{\ell_1, \ell_2\}$  attacks respectively, as will be depicted in Table 2. Similarly, when defending against the union of  $\ell_p$  and non- $\ell_p$  perturbation types on CIFAR-10, we classify  $\mathcal{A} = \{\{\ell_\infty, \ell_2, \text{ReColor}\}, \text{StAdv}\}$  attacks based on the robustness of each  $M_{\mathcal{A}}$  against every attack  $\mathcal{B} \in \mathcal{S}$ . We report the robustness of PROTECTOR with varying number of second-level predictors in Appendix J.3.

## 5.2 TRAINING

Past works [Maini et al., 2020, Tramèr and Boneh, 2019] on robustness to multiple attack types require intensive hyperparameter tuning to *balance* different attack types when one attack is stronger than others. We find that a similar phenomenon plagues the adversarial training (AT) of  $C_{adv}$ . Therefore, we train  $C_{adv}$  over a static dataset, which is fast and stable. Specifically, using a single GTX 1080Ti GPU,  $C_{adv}$  can be trained within 5 and 30 minutes on MNIST and CIFAR-10 respectively (given that we already have access to perturbation-specific robust models). On the other hand, training state-of-the-art models robust to a single perturbation type requires up to 2 days to train on the same amount of GPU power, and existing defenses against multiple ( $k$ ) perturbation types take  $k$  times as long as the training time for robustness against a single perturbation type. Instead, even when the individual  $M_{\mathcal{A}}$  are unavailable, we can train the  $k$  models in parallel to improve training speed.

A key advantage of PROTECTOR’s design is that it can build upon existing defenses against individual perturbation types. Specifically, we leverage the adversarially trained models developed in prior work [Zhang et al., 2019, Carmon et al., 2019] as  $M_{\mathcal{A}}$  models in our pipeline. The architecture of  $C_{adv}$  is also similar to a single  $M_{\mathcal{A}}$  model. See Appendix D for more details.

## 5.3 INFERENCE PROCEDURE

**Ensemble of diverse perturbation classifiers.** While  $C_{adv}$  learns the ability to distinguish between different attack types, it is not immune to the presence of adaptive adversaries that try to fool  $C_{adv}$  and the  $M_{\mathcal{A}}$  models together. To improve model robustness against such adversaries, we attempt to increase the trade-off in PROTECTOR that was described in Section 4.1. We use an ensemble (average of prediction logits) of two perturbation classifiers that classify adversarial examples in different domains – via the Fourier and image domains.<sup>3</sup> Owing to this diversity, the classification landscape of each  $C_{adv}$  is different. Intuitively, the trade-off between fooling the two stages of PROTECTOR confines the adversary in a very small region for generating successful adversarial attacks when using an ensemble

<sup>3</sup>Adversaries can still back-propagate through the Fourier transformation steps.

of perturbation classifiers. In Appendix G, we show how the adversarial examples can be visually separated in the Fourier domain [Yin et al., 2019a] and discuss further implementation details of the ensemble.

**Constraining the adversary using random noise.** While past work has [Hu et al., 2019] suggested that adding random noise does not help defend against adversarial inputs, it is the *unique* exhibition of the trade-off described in Theorem 2 that adversarial attacks against PROTECTOR, on the contrary, are likely to fail when added with random noise. Intuitively, the trade-off between fooling the two stages of PROTECTOR confines the adversary in a very small region for crafting successful attacks.

Consider the illustrative example in Figure 2b. The input  $(x, y = 0)$  is subjected to an  $\ell_\infty$  attack. Assume that the  $M_{\ell_\infty, \epsilon_\infty}$  model is a perfect classifier for adversarial examples within a fixed  $\epsilon_\infty$  region. The dotted line shows the decision boundary for  $C_{adv}$ , which correctly classifies inputs subjected to  $\ell_\infty$  perturbations  $\delta''$  as  $\ell_\infty$  attacks (green), but misclassifies samples with smaller perturbations. When the adversary adds a large perturbation  $\delta''$ , the prediction of  $M_{\ell_1}$  for the resulted input  $x''$  becomes wrong, but the perturbation classifier also categorizes it as an  $M_{\ell_\infty}$  attack, thus the final prediction of PROTECTOR is still correct since it will be produced by  $M_{\infty, \epsilon_\infty}$  model instead. On the other hand, when the adversary adds a small perturbation  $\delta'$  to fool the perturbation classifier, adding a small amount of random noise can recover the correct prediction with a high probability. Note that every point on the boundary of the noise region (yellow circle) is correctly classified by the pipeline. In this way, adding random noise exploits an adversarial trade-off for PROTECTOR to achieve a high accuracy against adversarial examples, in the absence of adversarial training. In our implementation, we sample random noise  $z \sim \mathcal{N}(0, I)$ , and add  $\hat{z} = \epsilon_2 \cdot z / \|z\|_2$  to the model input.

#### 5.4 ADAPTIVE ATTACKS AGAINST PROTECTOR

**Gradient propagation.** Since the final prediction in Equation 3 only depends on a single  $M_{\mathcal{A}}$  model, the pipeline does not allow gradient flow across the two levels. This can make it difficult for gradient-based adversaries to attack PROTECTOR. Therefore, we utilize a combination of predictions from each individual  $M_{\mathcal{A}}$  model by modifying  $f_\theta(x)$  in Equation 3 as follows:

$$\begin{aligned} c &= \text{softmax}(C_{adv}(x)); \\ f_\theta(x) &= \sum_{\mathcal{A} \in \mathcal{S}} c_{\mathcal{A}} \cdot M_{\mathcal{A}}(x), \end{aligned} \quad (4)$$

where  $c_{\mathcal{A}}$  denotes the probability of the input  $x$  being classified as the perturbation type  $\mathcal{A}$  by  $C_{adv}$ . Equation 4 is only used for the purpose of generating adversarial examples and performing gradient-based attack optimization. For

consistency, we still use Equation 3 to compute the model prediction at inference (final forward-propagation). We do not see any significant performance advantages of either choice during inference, and briefly report a comparison in Appendix I.1.

**Separately attacking  $C_{adv}$  and  $M_{\mathcal{A}}$ .** We also experiment with other strategies of aggregating the predictions of different components, e.g., tuning the loss to balance direct attacks on  $C_{adv}$  and each  $M_{\mathcal{A}}$  model. We find that this attack formulation performs worse than attacking the entire pipeline with Equation 4. We provide a discussion on this attack in Appendix I.

## 6 EXPERIMENTS

In this section, we present our results on MNIST and CIFAR-10 datasets, both for the perturbation classifier  $C_{adv}$  alone, and for the entire PROTECTOR pipeline.

### 6.1 PERTURBATION CATEGORIZATION BY $C_{adv}$

**Categorizing  $\ell_p$  perturbations.** First, we justify our choice of  $\epsilon_p$  radii by empirically quantifying the overlapping regions of different types of adversarial attacks. We observe that the empirical overlap is exactly 0% in all cases on both MNIST and CIFAR-10, and we present the full analysis in Appendix H.1. We then evaluate the categorization performance of  $C_{adv}$  on a dataset of adversarial examples which are generated against the six models we use as the baseline defenses in our experiments. Note that  $C_{adv}$  is only trained on adversarial examples against the two  $M_{\mathcal{A}}$  models that are part of PROTECTOR.

Next, we evaluate the test set generalization across the various datasets created. We observe that  $C_{adv}$  transfers well across the board. First,  $C_{adv}$  generalizes to adversarial examples against new models, i.e., it preserves a high accuracy, even if the adversarial examples are generated against models that are unseen during training. Further,  $C_{adv}$  also generalizes to new attack algorithms. As discussed in Section 5.1, we only include PGD adversarial examples in our training set for  $C_{adv}$ . However, on adversarial examples generated by the AutoAttack library, the classification accuracy of  $C_{adv}$  still holds up. In particular, the accuracy is  $> 95\%$  across all the individual test sets created. These results suggest two important findings that validate our results in Theorem 1 — independent of **(a)** the model to be attacked; and **(b)** the algorithm for generating the optimal adversarial perturbation, the optimal adversarial images for a given  $\ell_p$  region follow similar distributions. We present the full results in Appendix H.2.

**Categorizing common corruptions.** CIFAR-10-C is a benchmark consisting of 19 different types of common corruptions [Hendrycks and Dietterich, 2019]. For each image in the original CIFAR-10 test set, CIFAR-10-C includes im-



Table 1: Generalization results when  $C_{adv}$  is trained on different **Noise**, **Blur**, **Weather** and **Digital** corruptions (Severity=5). Test is performed on **Speckle Noise** + **Gaussian Blur** + **Spatter** + **Saturate**.

Trained On	Accuracy
<b>Impulse</b> + <b>Defocus Blur</b> + <b>Snow</b> + <b>Brightness</b>	70.4%
+ <b>Gaussian</b> + <b>Glass Blur</b> + <b>Fog</b> + <b>Contrast</b>	80.1%
+ <b>Shot</b> + <b>Motion Blur</b> + <b>Frost</b> + <b>Elastic Trans</b>	85.6%
+ <b>Zoom Blur</b> + <b>JPEG Compression</b> + <b>Pixelate</b>	93.5%
+ <b>Speckle</b> + <b>Gaussian Blur</b> + <b>Spatter</b> + <b>Saturate</b>	99.8%

ages with different corruptions. To train the corruption classifier, we split CIFAR-10-C, so that each corruption type has 9K training samples, and 1K for testing. For corruptions of the highest severity, we observe that our corruption classifier achieves greater than 99% test accuracy on the test split. Details about the architecture are deferred to Appendix D. This demonstrates that our perturbation classifier is applicable to both  $\ell_p$  adversarial perturbations and semantic common corruptions. We discuss detailed results of corruption classification at various severity levels in Appendix H.3.

**Generalization to unseen corruptions.** We further evaluate the generalization of the perturbation classifier to unseen corruption types. Specifically, different from the above setting of classifying corruption types, now our classifier categorizes all corruption types into 4 categories — noise, blur, digital, and weather (as defined in the CIFAR-10-C benchmark). We evaluate the model performance on 4 held-out corruption types, 1 for each category, and select these corruption types following the model validation setting in Hendrycks and Dietterich [2019]. From the remaining 15 corruption types, we vary the number of corruptions included for training, and present the results in Table 1. We observe that even if we do not train the perturbation classifier on the same corruption types for testing, the classifier still obtains a high generalization accuracy ( $> 90\%$ ). These results demonstrate that perturbation classification is effective even for unseen perturbations.

## 6.2 ROBUSTNESS TO $\ell_p$ ATTACKS

**Baselines.** We compare PROTECTOR with the state-of-art defenses against the union of  $\ell_1, \ell_2, \ell_\infty$  adversaries. For Tramèr and Boneh [2019], we compare two variants of adversarial training: (1) the **MAX** approach, where for each image, among different perturbation types, the adversarial sample that leads to the maximum increase of the model loss is augmented into the training set; (2) the **AVG** approach, where adversarial examples for all perturbation types are included for training. We also compare with **MSD** [Maini et al., 2020], which modifies the standard PGD attack to incorporate the union of multiple perturbation types within the steepest decent. In addition, we evaluate  $M_{\ell_1}, M_{\ell_2}, M_{\ell_\infty}$

models trained with  $\ell_1, \ell_2, \ell_\infty$  perturbations separately, as described in Appendix D.

**Attack evaluation.** We evaluate against the strongest attacks in the adversarial examples literature, and with adaptive attacks specifically designed for PROTECTOR (Section 5.4). We perform standard PGD attacks along with attacks from the AutoAttack library [Croce and Hein, 2020], which achieves the state-of-art adversarial error rates against multiple recently published models. The radius of the  $\{\ell_1, \ell_2, \ell_\infty\}$  perturbation regions is  $\{10, 2, 0.3\}$  for the MNIST dataset and  $\{10, 0.5, 0.03\}$  for the CIFAR-10 dataset. We present the full details of attack algorithms in Appendix F.

Following prior work, we evaluate models on adversarial examples generated from the first 1000 images of the test set for MNIST and CIFAR-10. Our main evaluation metric is the accuracy on *all attacks* – a given input is a failure case if any of the attack algorithm in our suite successfully fools the model.

**Results.** In Table 2, we summarize the worst-case performance against all attacks of a given perturbation type for MNIST and CIFAR-10 datasets. In particular, “Ours” denotes the robustness of PROTECTOR against the adaptive attacks described in Section 5.4, and “Ours\*” denotes the robustness of PROTECTOR against standard attacks based on Equation 3. The adaptive strategy effectively reduces the overall accuracy of PROTECTOR by 2 – 5%, showing that incorporating the gradient and prediction information of all second-level predictors results in a stronger attack.

PROTECTOR outperforms all baselines by 6.4% on MNIST, and 10% on CIFAR-10 in terms of the *all attacks* metric, even when evaluated against a strong adaptive adversary. Compared to the previous state-of-art defense against multiple perturbation types (MSD), the accuracy gain on  $\ell_\infty$  attacks is especially notable, i.e., around 15%. In particular, if we compare the performance on each individual attack algorithm, as shown in Appendix J.1 and J.2 for MNIST and CIFAR-10 respectively, the average accuracy gain is  $\sim 15\%$  for both datasets. These results demonstrate that PROTECTOR considerably mitigates the trade-off in the accuracy for individual attacks. Further, PROTECTOR retains a 7% higher CIFAR-10 accuracy on *clean images*, as opposed to past defenses that sacrifice benign accuracy for robustness to multiple perturbation types.

## 6.3 ROBUSTNESS TO NON- $\ell_p$ ATTACKS

We demonstrate how PROTECTOR can be extended to perturbation types beyond those restricted to  $\ell_p$  types. Laidlaw et al. [2021] evaluate the robustness of various adversarial defenses against attacks  $\mathcal{A} \in \mathcal{S} = \{\ell_2, \ell_\infty, \text{StAdv}, \text{ReColor}\}$  on CIFAR-10. We directly compare PROTECTOR with the pre-trained models for each

Table 2: Worst-case accuracies against different  $\ell_p$  attacks: (a) MNIST; (b) CIFAR-10. *Ours* represents PROTECTOR against the adaptive attack strategy (Eq 4), and *Ours\** is the standard setting.

<b>MNIST</b>	$M_{\ell_\infty}$	$M_{\ell_2}$	$M_{\ell_1}$	MAX	AVG	MSD	Ours	Ours*
Clean accuracy	99.2%	98.7%	98.8%	98.6%	99.1%	98.3%	98.9%	98.9%
$\ell_\infty$ attacks ( $\epsilon = 0.3$ )	90.2%	2.6%	0.0%	39.0%	57.8%	63.5%	78.1%	79.0%
$\ell_2$ attacks ( $\epsilon = 2.0$ )	9.5%	72.3%	47.8%	58.5%	58.6%	65.7%	66.6%	72.3%
$\ell_1$ attacks ( $\epsilon = 10$ )	18.8%	70.6%	77.5%	41.8%	46.1%	64.3%	68.1%	72.5%
All attacks	7.3%	2.6%	0.0%	29.1%	37.1%	57.2%	<b>63.6%</b>	<b>67.2%</b>

(a)

<b>CIFAR-10</b>	$M_{\ell_\infty}$	$M_{\ell_2}$	$M_{\ell_1}$	MAX	AVG	MSD	Ours	Ours*
Clean accuracy	89.5%	93.9%	89.0%	81.0%	84.6%	81.7%	89.0%	89.0%
$\ell_\infty$ attacks ( $\epsilon = 0.03$ )	59.3%	34.8%	35.0%	34.9%	39.7%	43.7%	56.1%	58.4%
$\ell_2$ attacks ( $\epsilon = 0.5$ )	64.6%	77.2%	71.5%	61.8%	65.5%	64.5%	69.3%	69.4%
$\ell_1$ attacks ( $\epsilon = 10$ )	27.6%	45.3%	60.9%	43.7%	60.0%	56.1%	57.9%	59.5%
All attacks	27.6%	32.9%	35.0%	31.5%	39.3%	43.5%	<b>53.5%</b>	<b>54.9%</b>

(b)

Table 3: Worst-case accuracies against  $\ell_\infty$  ( $\epsilon = 0.003$ ),  $\ell_2$  ( $\epsilon = 0.5$ ), spatial and recolor attacks. *Ours* represents PROTECTOR against the adaptive attack strategy (Eq 4), and *Ours\** is the standard setting. PAT [Laidlaw et al., 2021] is trained using perceptual adversarial training.

<b>CIFAR-10</b>	$M_{\ell_\infty}$	$M_{\ell_2}$	$M_{\text{StAdv}}$	$M_{\text{ReColor}}$	MAX	AVG	PAT	Ours	Ours*
Clean acc.	89.5%	93.9%	86.2%	93.4%	84.0%	86.8%	71.6%	89.5%	89.5%
$\ell_\infty$ attacks	59.3%	34.8%	0.1%	8.5%	25.8%	42.1%	29.8%	58.2%	59.1%
$\ell_2$ attacks	64.6%	77.2%	10.0%	34.8%	44.2%	64.8%	54.1%	57.0%	57.2%
StAdv	5.7%	0.2%	68.9%	0.0%	46.2%	27.8%	58.4%	50.4%	55.7%
ReColor	85.5%	84.0%	52.1%	86.8%	77.4%	80.5%	70.9%	85.2%	85.3%
All attacks	5.4%	0.2%	0.1%	0.0%	24.0%	21.5%	27.8%	<b>40.9%</b>	<b>41.9%</b>

individual defense provided in their work. This includes their defense based on perceptual adversarial training (PAT) and the MAX, AVG models, along with perturbation-specific robust models  $M_A$ . Specifically, as discussed in Section 5.1, we train a perturbation classifier that classifies adversarial examples as belonging to one of the two classes:  $\{\{\ell_\infty, \ell_2, \text{ReColor}\}, \text{StAdv}\}$ . We use two individual robust predictors:  $\{M_{\ell_\infty}, M_{\text{StAdv}}\}$ . The choice is once again made based on the robust accuracy of  $M_{\ell_\infty}$  models against  $\{\ell_\infty, \ell_2, \text{ReColor}\}$  attacks as also presented in Table 3. This ability to combine attacks also represents positively on the scalability of PROTECTOR. PROTECTOR improves by 13.1% against the union of all attacks. Importantly, PROTECTOR preserves a high accuracy against benign samples, whereas PAT classifies only 71.6% of unperturbed samples correctly, which makes it difficult to adopt it in real-world settings.

## 7 CONCLUSION

In this work, we introduce the problem of categorizing perturbation types. We theoretically demonstrate that adversarial inputs of different attack types are separable, and empiri-

cally validate our claims on different  $\ell_p$  and non- $\ell_p$  attacks. In addition to categorizing them with high accuracy, the perturbation categorizer also generalizes to *unseen* corruptions of the same category.

PROTECTOR performs perturbation type categorization to achieve robustness against the union of multiple perturbation types. We theoretically examine the existence of a natural tension for any adversary trying to fool our model—between fooling the attack classifier and the specialized robust predictors. Our empirical results on MNIST and CIFAR-10 datasets complement our theoretical analysis, showing that PROTECTOR outperforms existing defenses against multiple  $\ell_p$  and non- $\ell_p$  attacks by over 5%, while showing gains of over  $\sim 15\%$  on average and clean accuracy metrics.

Our work serves as a stepping stone towards the goal of universal adversarial robustness, by dissecting multiple adversarial objectives into individually solvable pieces and combining them via PROTECTOR. In its present form, PROTECTOR requires the knowledge of each individual attack type that we want to be robust against—to train the perturbation classifier. This limitation opens up various avenues for future work, including the new problem of perturbation categorization by defining sub-classes of adversarial attack types,



and training generative models to synthesize diverse perturbations.

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