# Residual Learning and Context Encoding for Adaptive Offline-to-Online Reinforcement Learning

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#### **Abstract**

Offline reinforcement learning (RL) allows learning sequential behavior from fixed datasets. Since offline datasets do not cover all possible situations, many methods collect additional data during online fine-tuning to improve performance. In general, these methods assume that the transition dynamics remain the same during both the offline and online phases of training. However, in many real-world applications, such as outdoor construction and navigation over rough terrain, it is common for the transition dynamics to vary between the offline and online phases. Moreover, the dynamics may vary during the online fine-tuning. To address this problem of changing dynamics from offline to online RL we propose a residual learning approach that infers dynamics changes to correct the outputs of the offline solution. At the online fine-tuning phase, we train a context encoder to learn a representation that is consistent inside the current online learning environment while being able to predict dynamic transitions. Experiments in D4RL MuJoCo environments, modified to support dynamics' changes upon environment resets, show that our approach can adapt to these dynamic changes and generalize to unseen perturbations in a sample-efficient way, whilst comparison methods cannot<sup>1</sup>.

Keywords: Adaptive RL, Offline-to-Online RL, Context Encoding

#### 1. Introduction

Offline reinforcement learning (RL) (Levine et al., 2020; Prudencio et al., 2023) has the potential to learn policies to accomplish complicated tasks from offline data without interacting with the environment. However, the environment in which these policies are deployed can in practice differ from the environment where the data was collected. Therefore, a fine-tuning phase that makes the policies adaptive to different modifications to the environment is necessary for real-world applications. An example is hydraulic systems (Egli and Hutter, 2022) where temperature influences the properties of the system and adaptation can be crucial.

Residual learning enables learning a residual agent that corrects the actions of a base policy. Prior research has used residual learning to combine conventional feedback controllers with RL agents (Johannink et al., 2019; Rana et al., 2020; Zhang et al., 2022b) for manipulation and navigation tasks, leading to improved sample efficiency. In this work, we use residual learning to adapt offline policies to environments with differing dynamics.

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<sup>1.</sup> Code available at: https://github.com/MohammadrezaNakhaei/ReLCE

To enable the residual agent to adapt to the changes in the environment, we use a context encoder where a short history of previous transitions is used to infer the changes in the environment. We use the context encoder to learn a latent variable indicating the changes in the dynamics. Our representation learning relies upon minimizing the error over multi-step predictions as well as accurately predicting other transitions.

In this paper, the experimental focus is on MuJoCo (Todorov et al., 2012) locomotion tasks from the D4RL (Fu et al., 2020) benchmark. We extend the environments such that during online fine-tuning we can resample transition dynamics' parameters upon the environment reset at the start of each episode. The assumption is that at the beginning of each episode, a new set of dynamics parameters is sampled from an unknown distribution. Once the dynamics parameters are sampled, the dynamics remain constant for the duration of an episode.

We show that our approach can adapt to different changes in dynamics parameters whilst considering the base offline policy and a short history of transitions. Further to this, we show that our approach generalizes to unseen dynamics parameters. That is, it generalizes to out-of-distribution changes in the environment that were not present during training.

#### 2. Problem Statement

In this paper, we consider offline-to-online RL. However, in contrast to previous approaches, we do not assume that the transition dynamics remain the same during the offline and online training phases. More specifically, we assume that during each episode of the online training phase, the transition dynamics are governed by one of  $N_M$  sets of transition dynamics parameters. The goal is to train an agent that can adapt to these dynamics changes using only a limited number of interactions.

We assume that the offline dataset is collected from a *Markov Decision Process* (MDP)  $M_{\text{offline}} = \langle S, A, R, P_{\text{offline}}, \gamma, \rho_0 \rangle$  consisting of state space S, action space A, a scalar reward function R, transition dynamics  $P_{\text{offline}}(s_{t+1}|s_t, a_t)$  which represent the distribution of possible next states conditioned on the current state and action, a discount factor  $\gamma \in [0,1]$  and an initial state distribution  $\rho_0(s_0)$ . A single trajectory (episode) consists of the list of states, actions, and rewards  $\tau = [(s_1, a_1, r_1), (s_2, a_2, r_2), ..., (s_{T-1}, a_{T-1}, r_{T-1}), (s_T)]$  where  $s_T$  is the termination state. In online interactions, similar to previous meta-RL formulations, we assume a distribution of MDPs p(M) with shared state space, action space, and reward function while the transition dynamics  $P_i(s_{t+1} \mid s_t, a_t)$  vary between different MDPs. Each MDP is given by  $M_i = \langle S, A, R, P_i, \gamma, \rho_0 \rangle$ . At the beginning of each trajectory in the online fine-tuning stage, an MDP  $M_i$  is sampled from the distribution p(M) and is consistent until the next trajectory. The objective is to find the policy  $\pi$  that maximizes the expected cumulative reward

$$J(\pi) = \mathbb{E}_{M_i \sim p(M), s_0 \sim \rho_0(s_0), s_{t+1} \sim P_i(s_t, a_t), a_t \sim \pi} \left[ \sum_{t=0}^T \gamma^t r(s_t, a_t) \right] . \tag{1}$$

## 3. Related Work

In this paper, we propose a novel challenge at the intersection of offline-to-online RL and adaptive RL. In this section, we first present an overview of offline RL methods since we use an offline policy as the base policy in the residual learning framework. Then we discuss different approaches for offline-to-online RL and compare them to our setting. Finally, we describe previous research on adaptive RL and illustrate how our approach is different and unique.

## 3.1. Offline Reinforcement Learning

Offline RL algorithms try to learn a policy that maximizes expected cumulative reward while only using static datasets without further interaction with the environment. The challenge in offline RL is distribution shift where the learned policy deviates from the behavior policy (the policy used to collect data) and selects out-of-distribution (OOD) actions for bootstrapping. To mitigate this, several methods constrain the policy to stay close to the behavior policy (Fujimoto et al., 2019; Kumar et al., 2019; Wu et al., 2019; Siegel et al., 2019; Fujimoto and Gu, 2021). Another solution is to train pessimistic value functions (Kumar et al., 2020; Yu et al., 2020; Kidambi et al., 2020; Yu et al., 2021; An et al., 2021; Jeong et al., 2022; Lyu et al., 2022; Chen et al., 2023) where regularization is applied to penalize the action value functions for OOD actions. Another class of methods uses in-sample learning (Peng et al., 2019; Nair et al., 2020; Kostrikov et al., 2021; Hansen-Estruch et al., 2023; Xu et al., 2022) where only the actions in the datasets are considered for training value functions/policy and perform weighted imitation learning on the behavior policy. Other methods use conditional generative modelling (Chen et al., 2021; Janner et al., 2021; Zheng et al., 2022; Janner et al., 2022; Ajay et al., 2022) to learn policies from offline datasets, sidestepping the need for bootstrapping and learning value functions.

## 3.2. Offline-to-Online Reinforcement Learning

Pre-training on large datasets followed by fine-tuning on down-stream tasks has been investigated in modern machine learning, *e.g.*, computer vision (Wang et al., 2021; Cai et al., 2022; Li et al., 2023) and natural language processing (NLP) (Kenton and Toutanova, 2019; Li et al., 2021; Hu et al., 2023). To improve the performance of well-trained offline policies, Nair et al. (2020) imitated actions with high advantage estimates, Lee et al. (2022) modified the sampling method to incorporate near on-policy offline data, Zhao et al. (2022) carefully adjusted the behavior cloning regularization weight, and Zhao et al. (2023); Wen et al. (2023) used an ensemble of value functions whilst considering uncertainty and smoothness. Recently Zhang et al. (2022a) proposed using policy expansion sets where an offline policy is fixed and new policies are trained; adaptive composition of policies is used to interact with the environment. This work is close to our method since the offline policy is fixed during online fine-tuning. Still, all these methods assume that the environment during online adaptation is fixed and consistent with the offline dataset. In contrast, our method learns an adaptive policy during online fine-tuning. Hybrid RL (Niu et al., 2022) considers imperfect simulators with offline data from the real environment and uses both to learn a policy, but the learned policy is not adaptive.

#### 3.3. Adaptive Reinforcement Learning

Adaptive RL aims at improving the generalization of policies across dynamic changes and different tasks. Yu et al. (2017) propose to learn an online system identification module using supervised learning and then train a universal policy considering the predicted system parameters. Kumar et al. (2021, 2022) instead, use a simulator while varying different parameters and learn an adaptation module by predicting the latent space of system parameters from a history of transitions. Guha and Annaswamy (2021); Cheng et al. (2022) incorporate adaptive control to estimate and compensate for changes in the dynamics, these methods require a nominal dynamic model and are restricted to the environment with Lagrangian mechanics without contacts. Meta-RL methods based on *Model* 

Agnostic Meta Learning (MAML) (Finn et al., 2017) learn a pre-trained model from a set of environments and adapt to a new environment within several updates (Nagabandi et al., 2019). Methods based on memory use past interactions to update the policy. In PEARL (Rakelly et al., 2019), a context encoder is learned from previous transitions to facilitate learning the action value function. Lee et al. (2020) proposed Context-aware Dynamic Model (CaDM) to learn a latent vector from previous transitions and use the latent space in the dynamic model for more accurate predictions. Seo et al. (2020) incorporate multiple choice learning in learning context-aware dynamic model. In Evans et al. (2022), N random transitions (s, a, s') from a single environment (trajectory) are passed through the encoder to infer the context accordingly. These works are similar to our method in the regard that prediction error is used to train the context encoder, but our method considers multi-step prediction loss, and future/past prediction loss, and also uses similarity loss to encourage consistency of the latent space in the same environment. In addition, our framework considers an offline fixed policy as the base policy and trains the residual agent on top of that.

#### 4. Method

Given an offline policy  $\pi_{\text{offline}}$ , we propose an adaptive policy that learns a residual policy  $\pi_{\text{residual}}$  to account for errors in the offline policy. Our method consists of an offline agent, context encoder/decoder, and residual agent,

$$a_t = \alpha \pi_{\text{offline}}(a_t^{\text{offline}} \mid s_t) + (1 - \alpha) \pi_{\text{residual}}(a_t^{\text{residual}} \mid s_t, a_t^{\text{offline}}, z_t)$$
 (2)

$$z_t = e_{\theta}(s_{t-H:t-1}, a_{t-H:t-1}) \tag{3}$$

$$\hat{s}_{t+1} = d_{\theta}(s_t, a_t, z_t), \tag{4}$$

where  $z_t \in R^d$  is a context variable, *i.e.* a latent variable indicating which MDP we believe we are in,  $\alpha$  is a mixing coefficient,  $e_{\theta}$  is the context encoder summarizing previous transitions, and  $d_{\theta}$  is the decoder that predicts future states used for learning representations. In the remainder of this section, we discuss each aspect of our method in more detail. Fig. 1 provides an overview of our method and Alg. 1 summarizes the online fine-tuning procedure.

Offline Agent: We consider Conservative Offline Model-Based policy Optimization (COMBO) (Yu et al., 2021) for training the offline policy  $\pi_{\text{offline}}$ . This algorithm extends Conservative Q-Learning (CQL) (Kumar et al., 2020) by using samples from the learned ensemble of a probabilistic dynamic model to train a less conservative Q function. We used the same hyper-parameters as the original paper and trained the agent for one million gradient steps.

Context Encoder: We train the context encoder to infer the changes in the environment from a short history of previous transitions implicitly without knowing the dynamic parameters. To train the context encoder, we use the forward dynamic prediction error. The decoder takes the latent representation  $z_t$ , state  $s_t$ , and action  $a_t$  and predicts the next state  $s_{t+1}$ . To encourage learning a representation for long horizon predictions, we use a k step loss function, where we use the predicted state to make further predictions. We also consider predicting other transitions from the same trajectory. This enables the encoder to learn a representation that is useful for prediction along the same trajectory (same MDP). The transition is randomly selected from the same trajectory. Prediction objectives do not guarantee consistency of the latent space along a trajectory where the assumption is that during the online fine-tuning, dynamics changes occur along episodes. To address this issue, we add an objective to maximize the cosine similarity loss between latent vectors of the

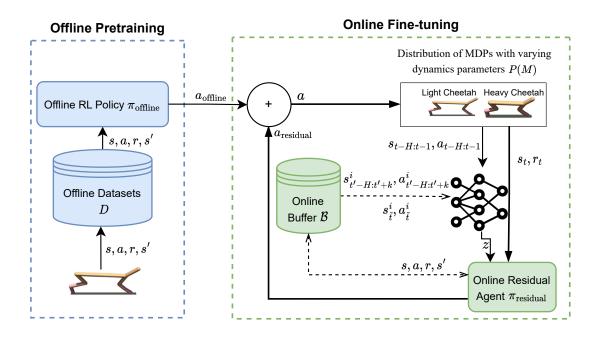


Figure 1: **Relce** overview - The offline policy  $\pi_{\text{offline}}$  is used as base policy trained on existing datasets  $\mathcal{D}$ . The context encoder infers the changes in the environments, and the residual agent compensates for the modifications by considering the context and offline policy.

same environment. From the same trajectory in memory, we sample N sequence of transitions and compute latent vectors for each of them. We combine these objectives to obtain our encoder's objective:

$$\mathcal{L}(\{s_{t_{i}-H:t_{i}+k}^{i}, a_{t_{i}-H:t_{i}+k}^{i}, s_{t_{i}'}^{i}, a_{t_{i}'}^{i}, s_{t_{i}'+1}^{i}\}_{i=0}^{N}; \theta) = \underbrace{\sum_{i=1}^{N} \sum_{k=0}^{K-1} \gamma^{k} ||d_{\theta}(\hat{s}_{t_{i}+k}^{i}, a_{t_{i}+k}^{i}, z_{t_{i}}^{i}) - s_{t_{i}+k+1}^{i}||^{2}}_{k\text{-step predictions}} + \underbrace{\sum_{i=1}^{N} ||d_{\theta}(s_{t_{i}'}^{i}, a_{t_{i}'}^{i}, z_{t}^{i}) - s_{t_{i}'+1}^{i}||^{2}}_{\text{future/past prediction}} + \underbrace{\frac{\omega}{N-1} \sum_{i,j=1, i\neq j}^{N} - \frac{z_{t_{i}}^{i}}{||z_{t_{i}}^{i}||_{2}} \frac{z_{t_{j}}^{j}}{||z_{t_{j}}^{j}||_{2}}}_{\text{consistency}}, \quad (5)$$

where  $d_{\theta}$  is the decoder which is trained along with the encoder,  $\hat{s}$  represents the predicted states,  $\omega$  is a hyper-parameter to balance consistency and prediction objectives. In the first step, we use the actual state to make the predictions *i.e.*  $\hat{s}_t^i = s_t^i$ .

**Residual Agent:** The residual agent aims to learn an adaptive policy that maximizes the expected cumulative reward across the distribution of MDPs by online interaction with the environments. At the beginning of each episode, we modify the dynamics by sampling from the distribution of MDPs. The transition dynamics then remain fixed until episode termination. We use a context encoder to infer the environment from a short history of previous transitions according to Eq. (3).

## Algorithm 1 Adaptive online fine-tuning with residual agent

**Require**: trained offline agent  $\pi_{\text{offline}}$ , distribution of MDPs p(M), context length H **Initialize** context encoder  $e_{\theta}$ , decoder  $d_{\theta}$ , residual agent  $\pi_{\text{residual}}$  and replay buffer  $\mathcal{B}$ **for** step in training steps **do** 

```
Sample an MDP from the distribution M_i \sim p(M)
Set t \leftarrow 0 and observe the initial state s_0
Initialize S_{\text{episode}} = \{s_0\}, A_{\text{episode}} = \{\}, R_{\text{episode}} = \{\}
while not done do
     if t < H then
         a_t \sim \pi_{\text{offline}}(a_t|s_t)
          Compute context z_t according to Eq. (3)
          Compute the state of the residual agent s_t^{\rm residual} according to Eq. (6)
          Get the total action a_t according to Eq. (2)
     end
     Interact with the environment with action a_t, observe the new state s'_t and the reward r_t
     Add new state s'_t, action a_t, and reward r_t to S_{\text{episode}}, A_{\text{episode}}, R_{\text{episode}} and set t \leftarrow t+1
     Sample training batch \{s^i_{t'-H:t'}, a^i_{t'-H:t'}, s^i_{\tilde{t}}, a^i_{\tilde{t}}, r^i_{\tilde{t}}\} from the buffer \mathcal{B}
     Train context encoder using objective in Eq. (5) and train the residual agent using SAC
end
Add trajectory (S_{\text{episode}}, A_{\text{episode}}, R_{\text{episode}}) to the buffer \mathcal{B}
```

end

The residual agent observes the state of the environment, context vector, and the action of the offline policy,

$$s_t^{\text{residual}} = [s_t, a_t^{\text{offline}}, z_t]^T .$$
(6)

We train the residual agent to compensate for the offline policy and output corrective actions. In Eq. (2)  $\alpha$  is a hyper-parameter with default value  $\alpha=0.75$  that chooses the importance of the offline policy vs. the residual policy.

We use the offline policy until the time step is more than the sequence length of the context encoder, *i.e.* t = H. Then we compute the context vector according to the prior transitions and determine the state of the residual agent according to Eq. (6). For training the residual agent, we use the *Soft Actor Critic* (SAC) algorithm (Haarnoja et al., 2018). The context encoder is fixed during the optimization of the Q-functions and the policy.

### 5. Experiments

We evaluate our approach on continuous control tasks with different datasets from the D4RL (Fu et al., 2020) benchmark. At the beginning of each episode, the mass of each link and the damping ratio of each joint are scaled by random numbers sampled from [0.75, 0.85, 1, 1.15, 1.25] uniformly. We aim to answer the following questions:

- Can our context-aware residual agent learn to adapt to transition dynamics changes?
- Can our context encoder learn representations that enable the agent to predict future states across different dynamics parameters?

• Can our approach generalize to transition dynamics not seen during training?

#### 5.1. Evaluation of the Adaptation Performance

In this section, we try to answer the first question and compare our methods adaptation performance to the baselines. The aim is to learn an adaptive policy during online fine-tuning with a limited number of interactions. We consider the following baselines:

- Recurrent SAC (Yang and Nguyen, 2021) uses recurrent networks for policy and value functions. We consider two variations: in the first variation we train the agent from scratch only by interacting online. In the second variation, we use residual learning with an offline policy similar to our method. We consider this baseline since it directly uses a history of transition to learn the policy and value function. In contrast, our method infers the dynamics' context z and trains an adaptive policy conditioned on this context.
- Meta RL algorithms learn an adaptive policy from a set of environments. PEARL (Rakelly
  et al., 2019) is an off-policy meta RL algorithm that includes a probabilistic context encoder.
  The comparison to this baseline can demonstrate the effect of offline policy and decoupling
  training the context encoder and policy learning.
- To demonstrate the necessity of adaptive online fine-tuning, we compare to offline-to-online
  methods. We consider PEX (Zhang et al., 2022a) and Adaptive BC (Zhao et al., 2022) for
  comparison.

For all the baselines, we use official implementations with our distribution of MDPs. We use one-dimensional *Convolution Neural Network* (CNN) to capture the temporal correlation between samples with [4,2,1] kernel size followed by ReLU activation function. Convolution layers are followed by a linear layer that outputs the latent vector. For the decoder, we use Multi-Layer preceptron (MLP) networks with [256,256] hidden layers and ReLU activations. We also use Adam optimizer to train the encoder and decoder with a learning rate of 0.0001 while normalizing the target predictions. We use a default sequence length of H=10, latent dimension of 8, and K=5 step prediction loss for training the context encoder. We use N=4 trajectories from the same environment and set  $\omega$  to 0.1 to balance consistency and prediction objectives. We use MLP networks with [256, 256] hidden layers followed by ReLU activations for the actors and critics networks of the residual agent. We use the Adam optimizer with learning rates of 0.0001 and 0.0003 to train actor and critic networks respectively.

We summarize the results in Table 1. Directly using recurrent networks in SAC without considering the offline agent has the worst performance and is not sample-efficient. Residual learning with the offline policy as the base improves the performance and sample-efficiency of SAC with recurrent networks. This suggests that a residual framework using the offline policy simplifies the problem and increases sample efficiency. Residual RNN SAC has a competitive performance in the hopper environment for different types of datasets, however, for other environments, it cannot learn the task within 250k time-steps. PEARL is more sample-efficient than RNN SAC and even has a better performance than Residual RNN SAC in halfcheetah, but within the sample budget, it cannot learn to adapt to different changes in the dynamics effectively. In the online fine-tuning phase, PEX and Adaptive BC improve performance when interacting with modified environments and learn more robust policies compared to the offline agent. Surprisingly, Adaptive BC outperforms our method

TASK	RNN SAC	RESIDUAL RNN SAC	PEARL	PEX	ADAPTIVE BC	RELCE (Ours)
hopper-medium-v2	$35.64 \pm 10.04$	$65.24 \pm 12.03$	$43.37{\pm}8.03$	$69.98 \pm 28.83$	$102.55 \pm 0.99$	$94.74 \pm 0.88$
hopper-medium-replay-v2	$35.64 \pm 10.04$	$86.13 \pm 9.72$	$43.37{\pm}8.03$	$85.18{\scriptstyle\pm21.86}$	$107.8 \pm 2.26$	$97.70 \pm 0.70$
hopper-expert-v2	$35.64 \pm 10.04$	$97.46{\pm}5.36$	$43.37{\pm}8.03$	$89.39 \pm 23.97$	$108.09{\scriptstyle\pm1.80}$	$97.90 \pm 0.64$
halfcheetah-medium-v2	$9.22 \pm 0.69$	$40.58{\pm}4.28$	$64.65 \pm 8.03$	$62.98 \pm 3.08$	$83.40{\pm}3.35$	$92.86 \pm 2.50$
halfcheetah-medium-replay-v2	$9.22 \pm 0.69$	$43.14 \pm 1.73$	$64.65{\pm}8.03$	$53.67{\pm}1.70$	$78.88{\pm}3.19$	$93.93 \pm 3.47$
halfcheetah-expert-v2	$9.22 \pm 0.69$	$59.15 {\pm} 8.84$	$64.65{\pm}8.03$	$91.6{\pm}2.43$	$85.42{\pm}3.41$	$95.87{\pm}3.22$
walker2d-medium-v2	$13.00 \pm 2.64$	$32.83 \pm 8.60$	$16.63 \pm 7.11$	$80.60 \pm 16.53$	$72.96 \pm 8.60$	$90.80 \pm 3.66$
walker2d-medium-replay-v2	$13.00 \pm 2.64$	$51.76 \pm 9.46$	$16.63 {\pm} 7.11$	$87.50{\pm}9.98$	$96.15{\pm}4.08$	$92.82 \pm 2.04$
walker2d-expert-v2	$13.00 \pm 2.64$	$47.97{\pm}5.49$	$16.63{\pm}7.11$	$96.15{\pm}9.78$	$103.86{\pm}3.26$	$104.37{\pm}3.04$
invertedpendulum-replay	$92.82 \pm 7.11$	$98.17 \pm 3.32$	$74.73 \pm 16.85$	$76.40 \pm 7.20$	$72.67 \pm 9.57$	100±0

Table 1: Results for adaptive online fine-tuning after 250k time-steps averaged over 10 random seeds, scores are normalized according to D4RL.

without considering any context or history in the *hopper* environment. We speculate that with different dynamic perturbations (changes in the mass and damping ratio) in the environment, the agent learns to behave conservatively and applies more torque/force trying to jump and move forward for different masses. To evaluate our speculation, we consider *invertedpendulum* environment and we scale the mass from [0.25, 0.5, 0.75, 1, 1.5, 2, 3, 5] uniformly. We collect the dataset for the offline agent by training *SAC* for 200k timesteps and we use the replay buffer for the dataset. This environment is sensitive to the mass and simply applying more force/torque in different masses is not a solution. Methods that consider history including ours outperform offline-to-online methods demonstrating the necessity for adaptation.

Fig. 2 shows the learning curves for different methods for the *hopper* environment with different datasets. In the methods that use residual learning, there is a drop in performance at the initial stage of fine-tuning since the residual agent is initialized and still not trained.

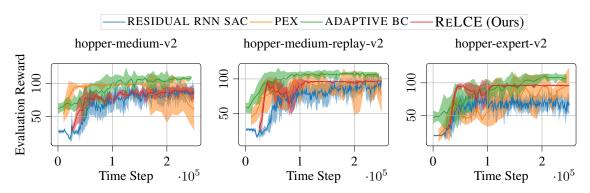


Figure 2: **Learning curves in hopper environment** Our method (red) is most sample efficient (*i.e.* converges in few environment steps) when the offline policy is learned with the expert data set (right) but still performs well with the medium data set (left). The shaded regions represent the standard deviation over 10 random seeds.

## 5.2. Latent Space Evaluation

To evaluate the context encoder, we consider 10-step state predictions according to the latent space and the decoder. Fig. 3 shows predictions along a single trajectory for a randomly modified *hopper* environment. The 10-step future predictions for different states of the environment are close to the observed state even though we use 5 future steps for training. This indicates that the learned representation by the encoder can infer the changes in the environment.

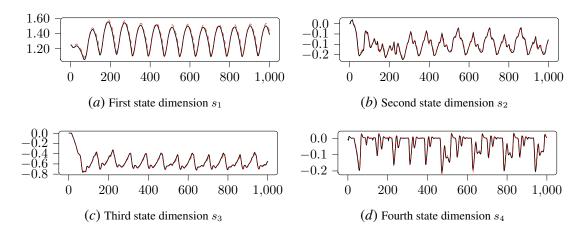


Figure 3: **Multi-step predictions** RELCE makes accurate state predictions (10 steps) using the context encoder (red dashed), when compared to the ground truth (black).

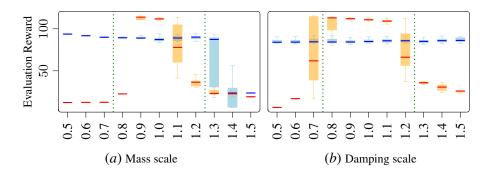


Figure 4: **Performance in the presence of dynamics changes** - Our method (blue) maintains good performance over a wider range of dynamics parameters than *Adaptive BC* (red) on the hopper-medium-replay task. Green lines separate in-distribution and out-of-distribution changes. Boxes represent the interquartile range (IQR) with median.

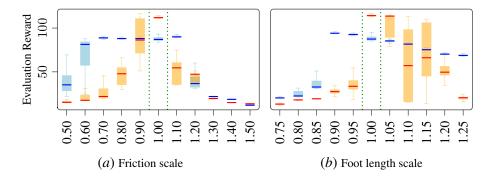


Figure 5: **Generalization to out-of-distribution dynamics parameters** - Our method (blue) shows some generalization to dynamics parameters outside of the distribution used during the online training on hopper-medium-replay. In contrast, *Adaptive BC* (red) struggles to generalize outside the training distribution. Green lines separate in-distribution and out-of-distribution changes. Boxes represent the interquartile range (IQR) with median.

#### **5.3.** Generalization to Unseen Dynamics

In this section, we investigate if our approach can adapt to changes in the environment that were not included during online fine-tuning. First, we investigate the same type of changes but with different magnitudes. Fig. 4 shows how different mass and damping ratios affect our method's performance, in terms of evaluation reward. We evaluate our method and *Adaptive BC* 100 times for each change in the environment. While *Adaptive BC* has a better performance for changes included in the training, especially small changes, our method can generalize to out-of-distribution changes. We speculate that for higher values of mass, the limitation in the actions (torques) makes it impossible for the agent to perform the task.

Next, we consider different dynamics changes that were not used in training. To this end, we change the friction coefficients for the joints and the foot length. Fig. 5 represent the results for our method and *Adaptive BC*. Our method outperforms *Adaptive BC* on almost all of the modified environments and is more stable with less variance in performance. This demonstrates that our method can adapt to different changes, even if the changes did not happen at training.

### 6. Conclusion

In this paper, we propose the novel problem of adaptive offline-to-online RL where the dynamics can change at each episode during online fine-tuning. We present a residual learning framework with context encoding to train an adaptive policy. In contrast to previous offline-to-online RL approaches, our method can compensate for dynamics changes by considering a short history of state transitions from the environment. Moreover, our experiments demonstrated that it can generalize to out-of-distribution dynamics that were not present in the online fine-tuning stage.

**Future work** We believe that our method can be improved in multiple ways. For instance, we use a constant coefficient for balancing the importance of the offline policy and the adaptive residual policy. However, automatically tuning this hyper-parameter could alleviate the drop in performance at the early stages of training.

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